APPENDIX 2 $\{^1\}$

DOCUMENTS SET OUT IN THE ANNEX TO REGULATION (EC) NO 1072/2009 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL, AS ADAPTED FOR THE PURPOSES OF THE EEA AGREEMENT

(see adaptation (f) in point 25 of Annex XIII to the Agreement)

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This Appendix, introduced by Decision No 15/1999 (OJ L 35, 10.2.2000, p. 45, and EEA Supplement No 7, 10.2.2000, p. 124), e.i.f. 1.8.1999, replaces former Appendix 1 (which was added by Decision No 7/94 (OJ L 160, 28.6.1994, p. 1 and EEA Supplement No 17, 28.6.1994, p. 1), e.i.f. 1.7.1994). Appendix renumbered Appendix 2 by Decision No 38/1999 (OJ L 266, 19.10.2000, p. 27 and EEA Supplement No 46, 19.10.2000, p. 161), e.i.f. 1.6.1999. Text of Appendix 2 replaced by Decision No 88/2014 (OJ L 310, 30.10.2014, p. 40 and EEA Supplement No 63, 30.10.2014, p. 31), e.i.f. 1.8.2015.

ANNEX II

EUROPEAN ECONOMIC AREA

(a)

(Colour Pantone light blue, format DIN A4 cellulose paper 100g/m² or more)

(First page of the licence)

(Text in (one of) the official language(s) of the EFTA State issuing the licence)

Distinguishing sign of the State⁽¹⁾ issuing the licence

Name of the competent authority or body

LICENCE No ...

(or)

CERTIFIED TRUE COPY No

for the international carriage of goods by road for hire or reward

This licence entitles⁽²⁾

to engage in the international carriage of goods by road for hire or reward by any route, for journeys or parts of journeys carried out for hire or reward within the territory of the Community and Iceland, Liechtenstein and Norway ⁽³⁾ , as laid down in Regulation (EC) No 1072/2009 of the European Parliament and of the Council of 21 October 2009 on common rules for access to the international road haulage market, as adapted for the purposes of the Agreement on the European Economic Area (EEA Agreement), and in accordance with the general provisions of this licence.				
Particular remarks:				
This licence shall be valid from	to			
Issued in,	on			
(4)				

¹ The distinguishing signs are IS (Iceland), (FL) Liechtenstein, (N) Norway.

⁽²⁾ Name or business name and full address of the haulier.

⁽³⁾ Hereinafter referred to as "the EFTA States".

Signature and seal of the issuing competent authority or body.

(b)

(Second page of the licence)

(Text in (one of) the official language(s) of the EFTA State issuing the licence)

GENERAL PROVISIONS

This licence is issued under Regulation (EC) No 1072/2009 as adapted for the purposes of the EEA Agreement.

It entitles the holder to engage in the international carriage of goods by road for hire or reward by any route for journeys or parts of journeys carried out within the territory of the Community and the EFTA States and, where appropriate, subject to the conditions laid down herein:

- where the point of departure and the point of arrival are situated in two different States which are either EU
 Member States or EFTA States, with or without transit through one or more EU Member States or EFTA States or third countries,
- from an EU Member State or an EFTA State to a third country or vice versa, with or without transit through one or more EU Member States or EFTA States or third countries,
- between third countries with transit through the territory of one or more EU Member States or EFTA States,

and unladen journeys in connection with such carriage.

In the case of carriage from an EU Member State or EFTA State to a third country or vice versa, this licence is not valid for that part of the journey carried out in the EU Member States or EFTA State of loading or unloading.

This licence is personal to the holder and is non-transferable.

It may be withdrawn by the competent authority of the EFTA State which issued it, notably where the holder has:

- not complied with all the conditions for using the licence,
- supplied incorrect information with regard to the data needed for the issue or extension of the licence.

The original of the licence must be kept by the haulage undertaking.

A certified copy of the licence must be kept in the vehicle⁽¹⁾. In the case of a coupled combination of vehicles it must accompany the motor vehicle. It covers the coupled combination of vehicles even if the trailer or semi-trailer is not registered or authorised to use the roads in the name of the licence holder or if it is registered or authorised to use the roads in an EU Member State or another EFTA State.

The licence must be presented at the request of any authorised inspecting officer.

Within the territory of each EU Member State and EFTA State, the holder must comply with the laws, regulations and administrative provisions in force in that State, in particular with regard to transport and traffic.

(1)

^{&#}x27;Vehicle' means a motor vehicle registered in an EFTA State, or a coupled combination of vehicles the motor vehicle of which at least is registered in an EFTA State, used exclusively for the carriage of goods.

ANNEX III

EUROPEAN ECONOMIC AREA

(a)

(Colour Pantone pink, format DIN A4 cellulose paper 100g/m² or more)

(First page of the attestation)

(Text in (one of) the official language(s) of the EFTA State issuing the attestation)

Distinguishing sign of the State⁽¹⁾ issuing the attestation

Name of the competent authority or body

DRIVER ATTESTATION No ...

for the carriage of goods by road for hire or reward under a Community licence or a licence issued by Iceland, Liechtenstein or Norway⁽²⁾

(Regulation (EC) No 1072/2009 of the European Parliament and of the Council of 21 October 2009 on common rules for access to the international road haulage market)

This attestation certifies that on the basis of the documents pre-	sented by:
	(3)
the following driver:	
Name and forename	
	Nationality
Type and reference number of identity paper	
	Place of issue
Driving licence number	
	Place of issue
Social security number	<u> </u>
agreements, in accordance with the rules applicable in the forvocational training of drivers applicable in that EFTA State to	administrative provisions and, as appropriate, the collective llowing EFTA State, on the conditions of employment and of carry out road transport operations in that State: (4)

⁽¹⁾ The distinguishing signs are IS (Iceland), (FL) Liechtenstein, (N) Norway.

^(2)Hereinafter referred to as "the EFTA States".

Name or business name and full address of the haulier.

Name of the haulier's State of establishment.

This attestation shall be valid from	to
Issued in	on
(5)	

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 $^{\,^{(5)}\,}$ Signature and seal of the issuing competent authority or body.

(b)

(Second page of the attestation)

(Text in (one of) the official language(s) of the EFTA State issuing the attestation)

GENERAL PROVISIONS

This attestation is issued under Regulation (EC) No 1072/2009 as adapted for the purposes of the EEA Agreement.

It certifies that the driver named therein is employed, in accordance with the laws, regulations, or administrative provisions and, as appropriate, the collective agreements, in accordance with the rules applicable in the EFTA State mentioned on the attestation, on the conditions of employment and of vocational training of drivers applicable in that EFTA State to carry out road operations in that State.

The driver attestation shall belong to the haulier, who puts it at the disposal of the driver designated therein when that driver drives a vehicle⁽¹⁾ engaged in carriage using a Community licence or a licence by an EFTA State issued to that haulier. The driver attestation is not transferable. The driver attestation shall be valid only as long as the conditions under which it was issued are still satisfied and must be returned immediately by the haulier to the issuing authorities if these conditions are no longer met.

It may be withdrawn by the competent authority of the EFTA State which issued it, in particular where the holder has:

- not complied with all the conditions for using the attestation,
- supplied incorrect information with regard to the data needed for the issue or extension of the attestation.

A certified true copy of the attestation must be kept by the haulage undertaking.

An original attestation must be kept in the vehicle and must be presented by the driver at the request of any authorised inspecting officer.

(1)

^{&#}x27;Vehicle' means a motor vehicle registered in an EFTA State, or a coupled combination of vehicles the motor vehicle of which at least is registered in an EFTA State, used exclusively for the carriage of goods.

APPENDIX 3 {2}

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This Appendix, introduced by Decision No 60/95 (OJ L 251, 3.10.1996, p. 30 and EEA Supplement No 45, 3.10.1996, p. 63), e.i.f. 1.8.1995, replaces former Appendix 2 (which was added by Decision No 7/94 (OJ L 160, 28.6.1994, p. 1 and EEA Supplement No 17, 28.6.1994, p. 1), e.i.f. 1.7.1994). Appendix renumbered Appendix 3 by Decision No 38/1999 (OJ L 266, 19.10.2000, p.27 and EEA Supplement No 46, 19.10.2000, p.161), 1.6.1999.

^{3} Text of Appendix 3 deleted by Decision No 56/1999 (OJ L 284, 9.11.2000, p. 17 and EEA Supplement No 50, 9.11.2000, p. 140), e.i.f. 23.7.1999.

APPENDIX 4 {4}

DOCUMENTS SET OUT IN THE ANNEX TO REGULATION (EC) NO 1073/2009 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL, AS ADAPTED FOR THE PURPOSES OF THE EEA AGREEMENT

(see adaptation (e) in point 32 of Annex XIII to the Agreement)

Appendix added by Decision No 7/94 (OJ L 160, 28.6.1994, p. 1 and EEA Supplement No 17, 28.6.1994, p. 1), e.i.f. 1.7.1994. References to "Liechtenstein" and "(FL)" in Annex I to Council Regulation (EEC) No 1839/92, as adapted for the purposes of the EEA Agreement, inserted by EEA Council Decision No 1/95. Appendix renumbered Appendix 4 by Decision No 38/99 (OJ L 266, 19.10.2000, p. 27 and EEA Supplement No 46, 19.10.2000, p. 46), e.i.f. 1.6.1999. Text of Appendix 4 replaced by Decision No 88/2014 (OJ L 310, 30.10.2014, p. 40 and EEA Supplement No 63, 30.10.2014, p. 31), e.i.f. 1.8.2015.

ANNEX II

EUROPEAN ECONOMIC AREA

(a)

(Colour Pantone light blue, format DIN A4 cellulose paper 100g/m² or more)

(First page of the licence)

(Text in the official language(s) or one of the official languages of the EFTA State issuing the licence)

Distinguishing sign of the State⁽¹⁾ issuing the licence

Name of the competent authority or body

LICENCE No ...

(or)

CERTIFIED TRUE COPY No

for the international carriage of passengers by coach and bus for hire or reward

The holder of this licence⁽²⁾

is authorised to carry out international carriage of passengers by road for hire or reward in the territory of the Community and				
Iceland, Liechtenstein and Norway ⁽³⁾ pursuant to the conditions laid down by Regulation (EC) No 1073/2009 of the European				
Parliament and of the Council of 21 October 2009 on common rules for access to the international market for coach and bus				
service, as adapted for the purposes of the Agreement on the European Economic Area (EEA Agreement), and in accordance				
with the general provisions of this licence.				
Comments:				
	Γ_			
This licence is valid from	To			
Issued in	On			
(4)				
(7)				

111

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⁽¹⁾ The distinguishing signs are IS (Iceland), (FL) Liechtenstein, (N) Norway.

⁽²⁾ Name or business name and full address of the haulier.

⁽³⁾ Hereinafter referred to as "the EFTA States".

Signature and seal of the competent authority or body issuing the licence.

(b)

(Second page of the licence)

(Text in the official language(s) or one of the official languages of the EFTA State issuing the licence)

GENERAL PROVISIONS

- This licence is issued pursuant to Regulation (EC) No 1073/2009 as adapted for the purposes of the EEA Agreement.
- This licence is issued by the competent authorities of the EFTA State of establishment of the carrier for hire or reward who:
 - (a) is authorised in the EFTA State of establishment to undertake carriage by means of regular services, including special regular services, or occasional services by coach and bus;
 - (b) satisfies the conditions laid down in accordance with Community rules, as adapted for the purposes of the EEA Agreement, on admission to the occupation of road passenger transport operator in national and international transport operations;
 - (c) meets legal requirements regarding the standards for drivers and vehicles.
- 3. This licence permits the international carriage of passengers by coach and bus for hire or reward on all transport links for journeys carried out in the territory of the Community and the EFTA States:
 - (a) where the point of departure and point of arrival are situated in two different States which are either EU Member States or EFTA States, with or without transit through one or more EU Member States or EFTA States or third countries;
 - (b) where the point of departure and the point of arrival are in the same EU Member State or EFTA State, while the picking up or setting down of passengers is in another EU Member State or EFTA State or in a third country;
 - (c) from an EU Member State or EFTA State to a third country and vice versa, with or without transit through one or more EU Member States or EFTA States or third countries;
 - (d) between third countries crossing the territory of one or more EU Member States or EFTA States in transit;

and empty journeys in connection with transport operations under the conditions laid down by Regulation (EC) No 1073/2009 as adapted for the purposes of the EEA Agreement.

In the case of a transport operation from an EU Member State or an EFTA State to a third country and vice versa, this licence does not apply for that part of the journey carried out in the EU Member State or EFTA State of picking up or setting down.

- 4. This licence is personal and non-transferable.
- 5. This licence may be withdrawn by the competent authority of the EFTA State of issue in particular where the carrier:
 - (a) no longer satisfies the conditions laid down in Article 3(1) of Regulation (EC) No 1073/2009;
 - (b) has supplied inaccurate information regarding the data required for the issue or renewal of the licence:
 - has committed a serious infringement or infringements of Community road transport legislation, as adapted for the purposes of the EEA Agreement, in any EU Member State or EFTA State, in particular with regard to the rules applicable to vehicles, driving and rest periods for drivers and the provision, without authorisation, of parallel or temporary services as referred to in the fifth subparagraph of Article 5(1) of Regulation (EC) No 1073/2009. The competent authorities of the EFTA State of establishment of the carrier who committed the infringement may, inter alia, withdraw the licence or make temporary or permanent withdrawals of some or all of the certified true copies of the licence.

These penalties are determined in accordance with the seriousness of the breach committed by the holder of the licence and with the total number of certified true copies that he possesses in respect of his international transport services.

- 6. The original of the licence must be kept by the carrier. A certified true copy of the licence must be carried on the vehicle carrying out an international transport operation.
- 7. This licence must be presented at the request of any authorised inspecting officer.
- 8. The holder must, on the territory of each EU Member State or EFTA State, comply with the laws, regulations and administrative measures in force in that State, particularly with regard to transport and traffic.
- 9. 'Regular services' means services which provide for the carriage of passengers at specified intervals along specified routes, passengers being taken up and set down at predetermined stopping points, and which are open to all, subject, where appropriate, to compulsory reservation.

The regular nature of the service shall not be affected by any adjustment to the service operating conditions.

Regular services require authorisation.

'Special regular services' means regular services, by whomsoever organised, which provide for the carriage of specified categories of passengers, to the exclusion of other passengers, at specified intervals along specified routes, passengers being taken up and set down at predetermined stopping points.

Special regular services shall include:

- (a) the carriage of workers between home and work;
- (b) carriage of school pupils and students to and from the educational institution.

The fact that a special service may be varied according to the needs of users shall not affect its classification as a regular service.

Special regular services do not require authorisation if they are covered by a contract between the organiser and the carrier.

The organisation of parallel or temporary services, serving the same public as existing regular services, requires authorisation.

'Occasional services' means services which do not fall within the definition of regular services, including special regular services, and whose main characteristic is that they carry groups constituted on the initiative of a customer or of the carrier himself. The organisation of parallel or temporary services comparable to existing regular services and serving the same public as the latter shall be subject to authorisation in accordance with the procedure laid down in Chapter III of Regulation (EC) No 1073/2009. These services shall not cease to be occasional services solely on the grounds that they are provided at certain intervals.

Occasional services do not require authorisation.

APPENDIX 5 {228}

LICENCE REFERRED TO IN THE ANNEX OF COUNCIL REGULATION (EC) No 11/98, AS ADAPTED FOR THE PURPOSES OF THE EEA AGREEMENT

(see adaptation (e) in point 32 of Annex XIII to the Agreement)

^{228} This Appendix, introduced by Decision No 121/98 (OJ L 297, 18.11.1999, p. 50 and EEA Supplement No 50, 18.11.1999, p. 77), e.i.f. 1.8.1999, replaces former Appendix 4 (which was added by Decision No 7/94 (OJ L 160, 28.6.1994, p. 1 and EEA Supplement No 17, 28.6.1994, p. 1), e.i.f. 1.7.1994). Appendix renumbered Appendix 5 by Decision No 38/1999 (OJ L 266, 19.10.2000, p.27 and EEA Supplement No 46, 19.10.2000, p.161), e.i.f. 1.6.1999.

ANNEX

EUROPEAN ECONOMIC AREA

(a)

(Heavy-duty, blue - dimension DIN A4)

(First page of the licence)

(Text in the official language(s) or one of the official languages of the EFTA State issuing the licence)

Distinctive symbol of the EFTA State (1) issuing the licence

Designation of the competent authority or body

LICENCE No ...

for the international carriage of passengers by coach and bus for hire or reward

The holder of this licence (2)			
territory of the European Comm laid down by Council Regulation	nunity and Iceland, Liechtenstei on (EEC) No 684/92 of 16 Ma e purposes of the Agreement	n and	road for hire or reward within the Norway (3) pursuant to the conditions 992, as amended by Regulation (EC) ne European Economic Area and in
Comments:			
This licence is valid from Issued in		to on	
	,		(4)

⁽IS) Iceland, (FL) Liechtenstein, (N) Norway.

⁽²⁾ (3) Full name or business name of the carrier. Hereinafter referred to as "the EFTA States".

Signature and stamp of the competent authority or body issuing the licence. (4)

General provisions

- 1. This licence is issued pursuant to Council Regulation (EEC) No 684/92 of 16 March 1992 on common rules for the international carriage of passengers by coach and bus, as amended by Regulation (EC) No 11/98 and adapted for the purposes of the Agreement on the European Economic Area (EEA).
- 2. This licence is issued by the competent authorities of the EC Member State or EFTA State of establishment of the carrier for hire or reward who:
 - is authorised in the EC Member State or EFTA State of establishment to undertake carriage by means of regular services, including special regular services or occasional services by coach and bus.
 - satisfies the conditions laid down in accordance with EEA rules on admission to the occupation of road passenger transport operator in national and international transport operations,
 - meets legal requirements on road safety as far as the standards for drivers and vehicles are concerned.
- 3. This licence permits the international carriage of passengers by coach and bus for hire or reward on all transport links for journeys carried out in the territory of the European Economic Area:
 - where the place of departure and place of destination are situated in two different EC Member States or EFTA States, with or without transit through one or more EC Member States or EFTA States or third countries,
 - from an EC Member State or EFTA State to a third country and vice versa, with or without transit through one or more EC Member States or EFTA States or third countries,
 - between third countries crossing the territory of one or more EC Member States or EFTA States in transit,

and empty journeys in connection with transport operations under the conditions laid down by Regulation (EEC) No 684/92.

In the case of a transport operation from an EC Member State or an EFTA State to a third country and vice versa, Regulation (EEC) No 684/92 is not applicable for the journey made in the territory of the EC Member State or EFTA State of picking up or setting down.

- 4. This licence is personal and non-transferable.
- 5. This licence may be withdrawn by the competent authority of the EC Member State or EFTA State of issue in particular where the carrier:
 - no longer meets the conditions laid down in Article 3(1) of Regulation (EEC) No 684/92,
 - has supplied inaccurate information regarding the data required for the issue or renewal of the licence.
 - has committed a serious breach or repeated minor breaches of road safety regulations, in particular with regard to the rules applicable to vehicles, driving and rest periods for drivers and the provision, without authorisation, of parallel or temporary services as referred to in Article 2(1.3) of Regulation (EEC) No 684/92. The competent authorities of the EC Member State or EFTA State of establishment of the carrier who committed the breach may, *inter alia*, withdraw the EEA licence or make temporary and/or partial withdrawals of the certified true copies of the EEA licence.

These penalties are determined in accordance with the seriousness of the breach committed by the holder of the EEA licence and with the total number of certified true copies that he possesses in respect of his international trade.

- 6. The original of the licence must be kept by the carrier. A certified true copy of the licence must be carried on the vehicle carrying out an international transport operation.
- 7. This licence must be presented at the request of any inspecting officer.
- 8. The holder must, on the territory of each EC Member State and EFTA State, comply with the laws, regulations and administrative measures in force in that State, particularly with regard to transport and traffic.
- 9. 'Regular services' means services which provide for the carriage of passengers at specified intervals along specified routes, passengers being taken up and set down at predetermined stopping points. Regular services shall be open to all, subject, where appropriate, to compulsory reservation.

The regular nature of the service shall not be affected by any adjustment to the service operating conditions.

Regular services require authorisation.

'Special regular services' means regular services which provide for the carriage of specified categories of passengers, to the exclusion of other passengers, at specified intervals along specified routes, passengers being taken up and set down at predetermined stopping points.

Special regular services shall include:

- (a) the carriage of workers between home and work;
- (b) carriage to and from the educational institution for school pupils and students;
- (c) the carriage of soldiers and their families between their homes and the area of their barracks.

The fact that a special service may be varied according to the needs of users shall not affect its classification as a regular service.

Special regular services do not require authorisation if they are covered by a contract between the organiser and the carrier.

The organisation of parallel or temporary services, serving the same public as existing regular services, requires authorisation.

'Occasional services' means services which do not fall within the definition of regular services, including special regular services, and whose main characteristic is that they carry groups constituted on the initiative of a customer or of the carrier himself. The organisation of parallel or temporary services comparable to existing regular services and serving the same public as the latter shall be subject to authorisation in accordance with the procedure laid down in Section II of Regulation (EEC) No 684/92. These services shall not cease to be occasional services solely because they are provided at certain intervals.

Occasional services do not require authorisation.

APPENDIX 6

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^{229} Appendix 6 added by Decision No 56/1999 (OJ L 284, 9.11.2000, p. 17 and EEA Supplement No 50, 9.11.2000, p. 140), e.i.f. 23.7.1999. Text of Appendix 6 deleted by Decision No 158/2015 (OJ L [to be published] and EEA Supplement No [to be published]), e.i.f. 1.8.2015.

APPENDIX 7 {230}

CERTIFICATE REFERRED TO IN ANNEX III TO REGULATION (EC) NO 1071/2009 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL, AS ADAPTED FOR THE PURPOSES OF THE **EEA AGREEMENT**

(see adaptation (d) in point 19 of Annex XIII to the Agreement)

^{230} Appendix added by Decision No 169/1999 (OJ L 61, 1.3.2001, p. 25 and EEA Supplement No 11, 1.3.2001, p. 233), e.i.f. 1.7.2000. Text of Appendix 7 replaced by Decision No 88/2014 (OJ L 310, 30.10.2014, p. 40 and EEA Supplement No 63, 30.10.2014, p. 31), e.i.f. 1.8.2015

ANNEX III EUROPEAN ECONOMIC AREA

(Colour Pantone stout fawn, format DIN A 4 cellulose paper 100 g/m² or more)

(Text in the official language(s) or one of the official languages of the EFTA State issuing the certificate)

Distinguishing sign of the EFTA State concerned⁽¹⁾

Name of the authorised authority or body⁽²⁾

CERTIFICATE OF PROFESSIONAL COMPETENCE IN ROAD HAULAGE/PASSENGER TRANSPORT(3)

No
We
hereby certify that ⁽⁴⁾
born on in
has successfully passed the tests for the examination (year:; session:) ⁽⁵⁾ necessary for the award of the certificate of professional competence in road haulage/passenger transport ⁽³⁾ in accordance with Regulation (EC) No 1071/2009 of the European Parliament and of the Council of 21 October 2009 establishing common rules concerning the conditions to be complied with to pursue the occupation of road transport operator ⁽⁶⁾ , as adapted for the purposes of the EEA Agreement.
This certificate constitutes the sufficient proof of professional competence referred to in Article 21 of Regulation (EC) No 1071/2009.

 $^{(1) \}qquad \hbox{The distinguishing signs are IS (Iceland), (FL) Liechtenstein, (N) Norway.}$

⁽²⁾ Authority or body designated in advance for this purpose by each EFTA State to issue this certificate.

⁽³⁾ Delete as appropriate.

⁽⁴⁾ Surname and forename; place and date of birth.

⁽⁵⁾ Identification of the examination.

⁽⁶⁾ OJ L 300, 14.11.2009, p. 51.

⁽⁷⁾ Seal and signature of the authorised authority or body issuing the certificate.

APPENDIX 8

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^{{&}lt;sup>231</sup>} Appendix and heading added by Decision No 61/2004 (OJ L 277, 26.8.2004, p. 175 and EEA Supplement No 43, 26.8.2004, p. 159), e.i.f. 27.4.2004 and subsequently deleted by Decision No 69/2009 (OJ L 232, 3.9.2009, p. 25 and EEA Supplement No 47, 3.9.2009, p. 27), e.i.f. 1.11.2009.