

**DECISION OF THE EEA JOINT COMMITTEE**  
**No 160/2020**

**of 23 October 2020**

**amending Annex IX (Financial services) to the EEA Agreement**

THE EEA JOINT COMMITTEE,

Having regard to the Agreement on the European Economic Area (“the EEA Agreement”), and in particular Article 98 thereof,

Whereas:

- (1) Commission Delegated Regulation (EU) 2017/2294 of 28 August 2017 amending Delegated Regulation (EU) 2017/565 as regards the specification of the definition of systematic internalisers for the purposes of Directive 2014/65/EU<sup>1</sup> is to be incorporated into the EEA Agreement.
- (2) Commission Delegated Regulation (EU) 2019/442 of 12 December 2018 amending and correcting Delegated Regulation (EU) 2017/587 to specify the requirement for prices to reflect prevailing market conditions and to update and correct certain provisions<sup>2</sup> is to be incorporated into the EEA Agreement.
- (3) Commission Delegated Regulation (EU) 2019/443 of 13 February 2019 amending Delegated Regulation (EU) 2017/588 as regards the possibility to adjust the average daily number of transactions for a share where the trading venue with the highest turnover of that share is located outside the Union<sup>3</sup> is to be incorporated into the EEA Agreement.
- (4) Commission Delegated Regulation (EU) 2019/1000 of 14 March 2019 amending Delegated Regulation (EU) 2017/1799 as regards the exemption of the People's Bank of China from the pre- and post-trade transparency requirements in Regulation (EU) No 600/2014 of the European Parliament and of the Council<sup>4</sup> is to be incorporated into the EEA Agreement.
- (5) Commission Delegated Regulation (EU) 2019/1011 of 13 December 2018 amending Commission Delegated Regulation (EU) 2017/565 as regards certain registration conditions to promote the use of SME growth markets for the purposes of Directive 2014/65/EU of the European Parliament and of the Council<sup>5</sup> is to be incorporated into the EEA Agreement.
- (6) Commission Implementing Decision (EU) 2019/541 of 1 April 2019 on the equivalence of the legal and supervisory framework applicable to approved exchanges and recognised market operators in Singapore in accordance with Regulation (EU) No

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<sup>1</sup> OJ L 329, 13.12.2017, p. 4.

<sup>2</sup> OJ L 77, 20.3.2019, p. 56.

<sup>3</sup> OJ L 77, 20.3.2019, p. 59.

<sup>4</sup> OJ L 163, 20.6.2019, p. 56.

<sup>5</sup> OJ L 165, 21.6.2019, p. 1.

600/2014 of the European Parliament and of the Council<sup>6</sup> is to be incorporated into the EEA Agreement.

(7) Annex IX to the EEA Agreement should therefore be amended accordingly,

HAS ADOPTED THIS DECISION:

#### *Article 1*

Annex IX to the EEA Agreement shall be amended as follows:

1. The following is added in point 31bah (Commission Delegated Regulation (EU) 2017/565):  
'as amended by:
  - **32017 R 2294:** Commission Delegated Regulation (EU) 2017/2294 of 28 August 2017 (OJ L 329, 13.12.2017, p. 4),
  - **32019 R 1011:** Commission Delegated Regulation (EU) 2019/1011 of 13 December 2018 (OJ L 165, 21.6.2019, p. 1).'
2. The following is added in point 31bazd (Commission Delegated Regulation (EU) 2017/587):  
'as amended by:
  - **32019 R 0442:** Commission Delegated Regulation (EU) 2019/442 of 12 December 2018 (OJ L 77, 20.3.2019, p. 56).'
3. The following is added in point 31baze (Commission Delegated Regulation (EU) 2017/588):  
'as amended by:
  - **32019 R 0443:** Commission Delegated Regulation (EU) 2019/443 of 13 February 2019 (OJ L 77, 20.3.2019, p. 59).'
4. The following indent is added in point 31bazt (Commission Delegated Regulation (EU) 2017/1799):  
'- **32019 R 1000:** Commission Delegated Regulation (EU) 2019/1000 of 14 March 2019 (OJ L 163, 20.6.2019, p. 56).'
5. The following point is inserted after point 31bazzg (Commission Implementing Decision (EU) 2017/2441):  
'31bazzh. **32019 D 0541:** Commission Implementing Decision (EU) 2019/541 of 1 April 2019 on the equivalence of the legal and supervisory framework applicable to approved exchanges and recognised market operators in Singapore in accordance with Regulation (EU) No 600/2014 of the European Parliament and of the Council (OJ L 93, 2.4.2019, p. 18).'

#### *Article 2*

The texts of Delegated Regulations (EU) 2017/2294, (EU) 2019/442, (EU) 2019/443, (EU) 2019/1000, (EU) 2019/1011 and Implementing Decision (EU) 2019/541 in the Icelandic and

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<sup>6</sup> OJ L 93, 2.4.2019, p. 18.

Norwegian languages, to be published in the EEA Supplement to the *Official Journal of the European Union*, shall be authentic.

*Article 3*

This Decision shall enter into force on 24 October 2020, provided that all the notifications under Article 103(1) of the EEA Agreement have been made\*.

*Article 4*

This Decision shall be published in the EEA Section of, and in the EEA Supplement to, the *Official Journal of the European Union*.

Done at Brussels, 23 October 2020.

*For the EEA Joint Committee  
The President*

*Sabine Monauni*

*The Secretaries  
To the EEA Joint Committee*

*Hege M. Hoff*

*Mikołaj Karłowski*

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\* No constitutional requirements indicated.