

DECISION OF THE EEA JOINT COMMITTEE No 160/2020
of 23 October 2020
amending Annex IX (Financial services) to the EEA Agreement [2023/1735]

THE EEA JOINT COMMITTEE,

Having regard to the Agreement on the European Economic Area (“the EEA Agreement”), and in particular Article 98 thereof,

Whereas:

- (1) Commission Delegated Regulation (EU) 2017/2294 of 28 August 2017 amending Delegated Regulation (EU) 2017/565 as regards the specification of the definition of systematic internalisers for the purposes of Directive 2014/65/EU ⁽¹⁾ is to be incorporated into the EEA Agreement.
- (2) Commission Delegated Regulation (EU) 2019/442 of 12 December 2018 amending and correcting Delegated Regulation (EU) 2017/587 to specify the requirement for prices to reflect prevailing market conditions and to update and correct certain provisions ⁽²⁾ is to be incorporated into the EEA Agreement.
- (3) Commission Delegated Regulation (EU) 2019/443 of 13 February 2019 amending Delegated Regulation (EU) 2017/588 as regards the possibility to adjust the average daily number of transactions for a share where the trading venue with the highest turnover of that share is located outside the Union ⁽³⁾ is to be incorporated into the EEA Agreement.
- (4) Commission Delegated Regulation (EU) 2019/1000 of 14 March 2019 amending Delegated Regulation (EU) 2017/1799 as regards the exemption of the People’s Bank of China from the pre- and post-trade transparency requirements in Regulation (EU) No 600/2014 of the European Parliament and of the Council ⁽⁴⁾ is to be incorporated into the EEA Agreement.
- (5) Commission Delegated Regulation (EU) 2019/1011 of 13 December 2018 amending Commission Delegated Regulation (EU) 2017/565 as regards certain registration conditions to promote the use of SME growth markets for the purposes of Directive 2014/65/EU of the European Parliament and of the Council ⁽⁵⁾ is to be incorporated into the EEA Agreement.
- (6) Commission Implementing Decision (EU) 2019/541 of 1 April 2019 on the equivalence of the legal and supervisory framework applicable to approved exchanges and recognised market operators in Singapore in accordance with Regulation (EU) No 600/2014 of the European Parliament and of the Council ⁽⁶⁾ is to be incorporated into the EEA Agreement.
- (7) Annex IX to the EEA Agreement should therefore be amended accordingly,

HAS ADOPTED THIS DECISION:

Article 1

Annex IX to the EEA Agreement shall be amended as follows:

1. The following is added in point 31bah (Commission Delegated Regulation (EU) 2017/565):

‘as amended by:

- **32017 R 2294**: Commission Delegated Regulation (EU) 2017/2294 of 28 August 2017 (OJ L 329, 13.12.2017, p. 4),
- **32019 R 1011**: Commission Delegated Regulation (EU) 2019/1011 of 13 December 2018 (OJ L 165, 21.6.2019, p. 1).’

⁽¹⁾ OJ L 329, 13.12.2017, p. 4.

⁽²⁾ OJ L 77, 20.3.2019, p. 56.

⁽³⁾ OJ L 77, 20.3.2019, p. 59.

⁽⁴⁾ OJ L 163, 20.6.2019, p. 56.

⁽⁵⁾ OJ L 165, 21.6.2019, p. 1.

⁽⁶⁾ OJ L 93, 2.4.2019, p. 18.

2. The following is added in point 31bazzd (Commission Delegated Regulation (EU) 2017/587):
'as amended by:
— **32019 R 0442**: Commission Delegated Regulation (EU) 2019/442 of 12 December 2018 (OJ L 77, 20.3.2019, p. 56).'
3. The following is added in point 31baze (Commission Delegated Regulation (EU) 2017/588):
'as amended by:
— **32019 R 0443**: Commission Delegated Regulation (EU) 2019/443 of 13 February 2019 (OJ L 77, 20.3.2019, p. 59).'
4. The following indent is added in point 31bazzt (Commission Delegated Regulation (EU) 2017/1799):
'— **32019 R 1000**: Commission Delegated Regulation (EU) 2019/1000 of 14 March 2019 (OJ L 163, 20.6.2019, p. 56).'
5. The following point is inserted after point 31bazzg (Commission Implementing Decision (EU) 2017/2441):
'31bazzh. **32019 D 0541**: Commission Implementing Decision (EU) 2019/541 of 1 April 2019 on the equivalence of the legal and supervisory framework applicable to approved exchanges and recognised market operators in Singapore in accordance with Regulation (EU) No 600/2014 of the European Parliament and of the Council (OJ L 93, 2.4.2019, p. 18).'

Article 2

The texts of Delegated Regulations (EU) 2017/2294, (EU) 2019/442, (EU) 2019/443, (EU) 2019/1000, (EU) 2019/1011 and Implementing Decision (EU) 2019/541 in the Icelandic and Norwegian languages, to be published in the EEA Supplement to the *Official Journal of the European Union*, shall be authentic.

Article 3

This Decision shall enter into force on 24 October 2020, provided that all the notifications under Article 103(1) of the EEA Agreement have been made *.

Article 4

This Decision shall be published in the EEA Section of, and in the EEA Supplement to, the *Official Journal of the European Union*.

Done at Brussels, 23 October 2020.

For the EEA Joint Committee
The President
Sabine MONAUNI

* No constitutional requirements indicated.